9-13-03 #5  
10-14-03 SP  
PTO/SB/21 (05-03)Approved for use through 04/30/2003. OMB 0651-0031  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/966,552	
	Filing Date	September 26, 2001	
	First Named Inventor	Medvinsky, Alexander	
	Art Unit	2131	
	Examiner Name		
Total Number of Pages in This Submission	4 + 2 refs	Attorney Docket Number	018926-007800US

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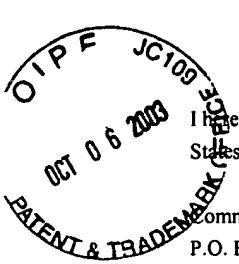
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Firm or Individual	Townsend and Townsend and Crew LLP Horace H. Ng Reg. No. 39,315
Signature	
Date	10/1/03

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By: Julie Taylor Cough

PATENT  
Attorney Docket No.: 018926-007800US  
Client Reference No.: D2631

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Alexander Medvinsky, et al.

Application No.: 09/966,552

Filed: September 26, 2001

For: UNIQUE ON-LINE  
PROVISIONING OF USER  
TERMINALS ALLOWING USER  
AUTHENTICATION

Examiner:

Art Unit: 2131

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

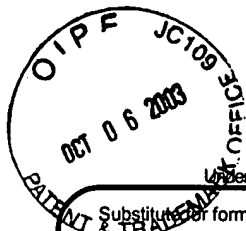
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Horace H. Ng  
Reg. No. 39,315

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
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HHN:jtc  
60010775 v1



Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Page 1 of 2

### Complete if Known

Application Number	09/966,552
Filing Date	September 26, 2001
First Named Inventor	Zhang, WeiMin, et. al.
Art Unit	2131
Examiner Name	
Attorney Docket Number	018926-007800US

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US-6,189,146 B1	02-13-2001	Misra, et al.	
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
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### FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Application Number	09/966,552		
		Filing Date	September 26, 2001		
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		Art Unit	2131		
		Examiner Name			
Page	2	of	2	Attorney Docket Number	018926-007800US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BC	AURA, THOMAS, "Distributed Access-Rights Management with Delegation Certificates," Appeared in Secure Internet Programming (LNCS 1603) 1999, pp. 211-235.	
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